



Filing ID #10022685

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Suneel Gupta
Status: Congressional Candidate
State/District: MI11

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/15/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Bank of America checking account [BA] | JT | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | \$201 - \$1,000 |
| Calm.com [PS] | | \$100,001 - \$250,000 | None | | |
| DESCRIPTION: Stock held by Suneel Gupta in private technology company and San Francisco-based meditation application Calm.com. This stock was issued in exchange for an equity investment. | | | | | |
| Citibank checking account [BA] | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Fidelity 401K ⇒ Fidelity Emerging Markets Fund [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Fidelity 401K ⇒ Janus Henderson Enterprise N Fund [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | |
| Fidelity 529 Education fund for DC1 [5P] | DC | \$100,001 - \$250,000 | Tax-Deferred | | |
| LOCATION: MI | | | | | |
| Fidelity 529 Education fund for DC2 [5P] | DC | \$15,001 - \$50,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|-------------------------------|------------------------|---------------------------------|---------------------------------|
| LOCATION: MI | | | | | |
| Gupta Family Limited Partnership ⇒ Suneel Gupta Family Partnership I [BA] | | \$5,000,001 - \$25,000,000 | Dividends, Interest | \$1,000,001 - \$5,000,000 | \$1,000,001 - \$5,000,000 |
| DESCRIPTION: This is a joint trust | | | | | |
| Gupta Family Limited Partnership ⇒ Suneel Gupta Family Partnership II [BA] | | \$5,000,001 - \$25,000,000 | Dividends, Interest | \$1,000,001 - \$5,000,000 | \$1,000,001 - \$5,000,000 |
| DESCRIPTION: This is a joint trust | | | | | |
| One Life Healthcare Inc ⇒ 1Life Healthcare stock sold [OL] | | \$100,001 - \$250,000 | Capital Gains | None | None |
| LOCATION: BIRMINGHAM, MI, US DESCRIPTION: Private sale of privately held stock in 1Life Healthcare. | | | | | |
| One Life Healthcare Inc ⇒ One Medical cash payment for acquisition of Rise [BA] | | \$250,001 - \$500,000 | Capital Gains | None | None |
| DESCRIPTION: Cash payments related to acquisition of Rise Labs by One Medical. | | | | | |
| One Life Healthcare Inc ⇒ Stock in One Life Healthcare Inc [PS] | | \$1,000,001 - \$5,000,000 | Capital Gains | None | None |
| DESCRIPTION: Stock held by Suneel Gupta in private San Francisco-based healthcare company One Life Healthcare, aka One Medical. Stock was part of acquisition of Rise Labs. | | | | | |
| Spouse Roth IRA Morgan Stanley ⇒ First Trust Preferred Securities and Income ETF ETF (FPE) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ First Trust VL Dividend (FVD) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ iShares MSCI EAFE ETF (EFA) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ iShares MSCI Emerging Index Fund (EEM) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |

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|---|-------|-----------------------|---------------------|-------------------------------|-------------------------|
| Spouse Roth IRA Morgan Stanley ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ Morgan Stanley (MS) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ SPDR S&P 500 (SPY) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Spouse Roth IRA Morgan Stanley ⇒ Vanguard Small-Cap Growth ETF (VBK) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel and Leena Gupta Trust Morgan Stanley ⇒ Gupta Family Trust [BA] DESCRIPTION: Joint trust | | \$50,001 - \$100,000 | Interest | \$1,001 - \$2,500 | \$1,001 - \$2,500 |
| Suneel Gupta Morgan Stanley account ⇒ Apache Corporation (APA) [ST] | | \$1,001 - \$15,000 | Dividends, Interest | None | None |
| Suneel Gupta Morgan Stanley account ⇒ California Municipal Finance Authority Revenue Bonds [GS] | | \$50,001 - \$100,000 | Interest | None | None |
| Suneel Gupta Morgan Stanley account ⇒ California Municipal Finance Authority Revenue Bonds [GS] | | \$100,001 - \$250,000 | Interest | None | None |
| Suneel Gupta Morgan Stanley account ⇒ Colton California Public Financing Bons [GS] | | \$50,001 - \$100,000 | Interest | None | None |
| Suneel Gupta Morgan Stanley account ⇒ Eaton Vance Tax-Managed Global Diversified Equity Income Fund Eaton Vance Tax-Managed Global Diversi (EXG) [ST] | | \$250,001 - \$500,000 | Dividends, Interest | \$50,001 - \$100,000 | \$50,001 - \$100,000 |
| Suneel Gupta Morgan Stanley account ⇒ Facebook, Inc. - Class A (FB) [ST] | | \$250,001 - \$500,000 | Capital Gains | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 |

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| Suneel Gupta Morgan Stanley account ⇒ Goldman Sachs Group, Inc. (GS) [ST] | | \$100,001 - \$250,000 | Dividends, Interest | \$50,001 - \$100,000 | \$50,001 - \$100,000 |
| Suneel Gupta Morgan Stanley account ⇒ GSV Capital Corp (GSVC) [ST] | | \$15,001 - \$50,000 | None | | |
| Suneel Gupta Morgan Stanley account ⇒ Hartford Financial Services Group, Inc. (HIG) [ST] | | \$15,001 - \$50,000 | Dividends, Interest | \$15,001 - \$50,000 | \$15,001 - \$50,000 |
| Suneel Gupta Morgan Stanley account ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST] | | \$100,001 - \$250,000 | Dividends, Interest | \$100,001 - \$1,000,000 | \$100,001 - \$1,000,000 |
| Suneel Gupta Morgan Stanley account ⇒ iShares MSCI Emerging Index Fund (EEM) [ST] | | \$15,001 - \$50,000 | Dividends, Interest | \$5,001 - \$15,000 | \$5,001 - \$15,000 |
| Suneel Gupta Morgan Stanley account ⇒ NextEra Energy, Inc. (NEE) [ST] | | \$100,001 - \$250,000 | Dividends, Interest | \$50,001 - \$100,000 | \$50,001 - \$100,000 |
| Suneel Gupta Morgan Stanley account ⇒ PPL Corporation (PPL) [ST] | | \$15,001 - \$50,000 | Dividends, Interest | None | None |
| Suneel Gupta Morgan Stanley account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | | \$15,001 - \$50,000 | Dividends, Interest | \$5,001 - \$15,000 | \$5,001 - \$15,000 |
| Suneel Gupta Morgan Stanley account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | | \$15,001 - \$50,000 | Dividends, Interest | \$5,001 - \$15,000 | \$5,001 - \$15,000 |
| Suneel Gupta Morgan Stanley account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | | \$15,001 - \$50,000 | Dividends, Interest | \$5,001 - \$15,000 | \$5,001 - \$15,000 |
| Suneel Gupta Morgan Stanley account ⇒ State of California Bonds [GS] | | \$100,001 - \$250,000 | Interest | None | None |
| Suneel Gupta Morgan Stanley account ⇒ Welltower Inc. (WELL) [ST] | | \$50,001 - \$100,000 | Dividends, Interest | None | None |
| Suneel Gupta Roth IRA ⇒ First Trust Preferred Securities and Income ETF ETF (FPE) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ First Trust VL Dividend (FVD) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |

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|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Suneel Gupta Roth IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ iShares MSCI Emerging Index Fund (EEM) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ SPDR S&P 500 (SPY) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel Gupta Roth IRA ⇒ Vanguard Small-Cap Growth ETF (VBK) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Amazon.com, Inc. (AMZN) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Apple Inc. (AAPL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ | | \$1,001 - \$15,000 | Tax-Deferred | | |

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|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Bank of America Corporation (BAC) [ST] | | | | | |
| Suneel IRA Roth ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Boeing Company (BA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Chevron Corporation (CVX) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Constellation Brands, Inc. (STZ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Digital Realty Trust, Inc. (DLR) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Essex Property Trust, Inc. (ESS) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Facebook, Inc. - Class A (FB) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ First Trust Health Care AlphaDEX (FXH) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ First Trust VL Dividend (FVD) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Global Blood Therapeutics, Inc. (GBT) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares MSCI EAFE ETF (EFA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares MSCI Emerging Index Fund (EEM) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares MSCI Eurozone ETF (EZU) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |

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|--|-------|--------------------|----------------|-------------------------------|-----------------------|
| Suneel IRA Roth ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares U.S. Aerospace & Defense ETF (ITA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ iShares U.S. Energy ETF (IYE) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Johnson & Johnson (JNJ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ JP Morgan Chase & Co. (JPM) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Lazard Global Total Return and Income Fund (LGI) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Microsoft Corporation (MSFT) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Netflix, Inc. (NFLX) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Nike, Inc. (NKE) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Occidental Petroleum Corporation (OXY) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Procter & Gamble Company (PG) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Salesforce.com Inc (CRM) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|--------------------|----------------|-------------------------------|-----------------------|
| Suneel IRA Roth ⇒ SPDR S&P Dividend ETF (SDY) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Starbucks Corporation (SBUX) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Vanguard Small-Cap Growth ETF (VBK) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Visa Inc. (V) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ Wells Fargo & Company (WFC) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ WisdomTree Emerging Markets High Dividend Fund (DEM) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Suneel IRA Roth ⇒ WisdomTree Europe SmallCap Dividend Fund (DFE) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|-------------------------|-------------------------------------|-------------------------------|-----------------------|
| Creative Artists Agency | paid speech in 2017 | N/A | \$12,500.00 |
| Hearst Corp | Spouse freelance income for writing | N/A | N/A |
| Time Inc | Spouse Salary | N/A | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-----------------------|---------------|--|---------------------|
| SP | Navient Student loans | August 2007 | student loans for graduate school at Northwestern University | \$10,000 - \$15,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|---------------------------|------------------------------------|
| CEO and founder | Rise Labs |
| Head of Mobile Business | One Life Healthcare, One Medical |
| Entrepreneur in Residence | Kleiner Perkins Caufield and Byers |
| Visiting Scholar | Harvard University |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Fidelity 401K
- Gupta Family Limited Partnership (Owner: JT)
LOCATION: US
- One Life Healthcare Inc
LOCATION: US
- Spouse Roth IRA Morgan Stanley (Owner: SP)
- Suneel and Leena Gupta Trust Morgan Stanley (Owner: JT)
- Suneel Gupta Morgan Stanley account
LOCATION: US
- Suneel Gupta Roth IRA
- Suneel IRA Roth

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Suneel Gupta , 05/15/2018